



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,932	03/09/2001	Larry L. Lu	06975-127001

CONFIRMATION NO. 3453

FORMALITIES LETTER



\*OC000000005974153\*

W. KARL RENNER  
Fish & Richardson P.C.  
601 Thirteenth Street. NW  
Washington, DC 20005

Date Mailed: 04/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$414.
  - \$414 for 23 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

*A copy of this notice **MUST** be returned with the reply.*

*S.H.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2001 HROCHA1 00000017 09801932

01 FC:101	710.00 OP
02 FC:103	414.00 OP
03 FC:105	130.00 OP



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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry L. Lu  
Serial No. : 09/801,932  
Filed : March 9, 2001  
Title : MESSAGE SCREENING SYSTEM

Art Unit : Unknown  
Examiner : Unknown

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed April 16, 2001 (copy enclosed), Applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$710;
- ☒ Payment of the additional/multiple dependent claims fees of \$414;
- ☒ Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☐ Other:

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Larry L. Lu  
Serial No. : 09/801,932  
Filed : March 9, 2001  
Page : 2

Attorney's Docket No.: 06975-  
127001 / Communications 36

Respectfully submitted,

Date: 5/1/2001

  
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